

WELL-POSEDNESS FOR ONE-DIMENSIONAL DERIVATIVE NONLINEAR SCHRÖDINGER EQUATIONS

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ABSTRACT. In this paper, we investigate the one-dimensional derivative nonlinear Schrödinger equations of the form $iu_t - u_{xx} + i\lambda|u|^k u_x = 0$ with non-zero $\lambda \in \mathbb{R}$ and any real number $k \geq 5$. We establish the local well-posedness of the Cauchy problem with any initial data in $H^{1/2}$ by using the gauge transformation and the Littlewood-Paley decomposition.

1. Introduction. In the present paper, we consider the following Cauchy problem for the derivative nonlinear Schrödinger equation

$$iu_t - u_{xx} + i\lambda|u|^k u_x = 0, \quad (t, x) \in \mathbb{R}^2, \quad (1.1)$$

$$u(0, x) = u_0(x), \quad (1.2)$$

where $u = u(t, x) : \mathbb{R}^2 \rightarrow \mathbb{C}$ is a complex-valued wave function, both $\lambda \neq 0$ and $k \geq 5$ are real numbers.

A great deal of interesting research has been devoted to the mathematical analysis for the derivative nonlinear Schrödinger equations [3, 4, 6, 7, 8, 9, 10, 11, 13, 18, 21]. In [13], C. E. Kenig, G. Ponce and L. Vega studied the local existence theory for the Cauchy problem of the derivative nonlinear Schrödinger equations

$$iu_t + u_{xx} + f(u, \bar{u}, u_x, \bar{u}_x) = 0, \quad (t, x) \in \mathbb{R}^2,$$

with small data $u(0, x) = u_0(x)$ in $H^{7/2}$ where f is a polynomial having no constant or linear terms with the lowest order term of degree being greater than or equal to 3. Subsequently, it was improved to H^3 by N. Hayashi and T. Ozawa [11].

If the nonlinearity consists mostly of the conjugate wave \bar{u} , then it can be done much better. In the case $f = (\bar{u}_x)^k$, A. Grünrock, in [8], obtained local well-posedness when $s > s_c = 3/2 - 1/(k-1)$, $s \geq 1$, and $k \geq 2$ was an integer. In particular, the global well-posedness in H^1 is obtained when $f = i(\bar{u}_x)^2$ with the help of the Bourgain spaces (cf. [2, 23]).

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In [21], H. Takaoka discussed the derivative nonlinear Schrödinger equation of the form

$$u_t - iu_{xx} + |u|^2 u_x = 0, \quad (t, x) \in \mathbb{R}^2,$$

and obtained the local well-posedness in H^s for $s \geq 1/2$ by performing a fixed point argument in an adapted Bourgain space $X_{s,b}$ which yields a C^∞ -solution map.

A very similar equation to (1.1) is the generalized Benjamin-Ono equation

$$u_t + \mathcal{H}u_{xx} \pm u^k u_x = 0, \quad (t, x) \in \mathbb{R}^2, \quad (1.3)$$

where u is a real-valued function, \mathcal{H} is the Hilbert transformation defined by

$$\mathcal{H}f(x) = -i \int_{\mathbb{R}} e^{ix\xi} \operatorname{sgn}(\xi) \hat{f}(\xi) d\xi,$$

and $k \geq 2$ is an integer, the symbol $\hat{\cdot}$ (or \mathcal{F}) denotes the spatial Fourier transform. For this equation, L. Molinet and F. Ribaud [16, 17] obtained the local well-posedness in the Sobolev space H^s for $s > 1/2$ if $k = 2, 4$, $s \geq 3/4$ if $k = 3$ and $s \geq 1/2$ if $k \geq 5$ by using Tao's gauge transformation. In [14], C. E. Kenig and H. Takaoka have shown the global well-posedness for the case $k = 2$ in H^s for $s \geq 1/2$ by combining the gauge transformation with a Littlewood-Paley decomposition and following the compactness argument with a priori estimates with the help of the preservation of the Hamiltonian and the L^2 -mass.

In the present paper, we shall generalize the above results to the derivative nonlinear Schrödinger equation with $k \geq 5$ by using some ideas in [14]. However, we have to reconstruct new and complicated estimates for the case $k \geq 5$ which is quite different from the case $k = 2$.

We first state the main result of this paper as follows, though we shall define later the function space X_T at the end of this section.

Theorem 1.1. *For any $u_0 \in H^{1/2}$, there exist a $T = T(\|u_0\|_{H^{1/2}})$ and a unique solution u of (1.1)-(1.2) satisfying*

$$u \in C([-T, T]; H^{1/2}) \cap X_T.$$

For convenience, we now introduce some notations. For nonnegative real numbers A, B , we use $A \lesssim B$ to denote $A \leq CB$ for some $C > 0$ which is independent of A and B . $A \sim B$ means $A \lesssim B \lesssim A$, and $A \ll B$ denotes $A \leq CB$ for some small $C > 0$ which is also independent of A and B .

To give the Littlewood-Paley decomposition, let ψ be a fixed even C^∞ function with a compact support, $\operatorname{supp} \psi \subset \{|\xi| < 2\}$, and $\psi(\xi) = 1$ for $|\xi| \leq 1$. Define $\varphi(\xi) = \psi(\xi) - \psi(2\xi)$. Let N be a dyadic number of the form $N = 2^j$, $j \in \mathbb{N} \cup \{0\}$ or $N = 0$. Writing $\varphi_N(\xi) = \varphi(\xi/N)$ for $N \geq 1$, we define the convolution operator P_N by $P_N u = \check{\varphi}_N * u$, where the symbol $\check{\cdot}$ (or \mathcal{F}^{-1}) denotes the spatial Fourier inverse transform. We define the function φ_0 by $\varphi_0(\xi) = 1 - \sum_N \varphi_N(\xi)$ and denote $P_0 u = \check{\varphi}_0 * u$. Then we introduce a spatial Littlewood-Paley decomposition [20]

$$\sum_N P_N = I.$$

Throughout this paper, we often use the Littlewood-Paley theorem (cf. [20, 23])

$$\left\| \left(\sum_N |P_N \phi|^2 \right)^{1/2} \right\|_{L^p} \sim \|\phi\|_{L^p},$$

for $1 < p < \infty$. We also use more general operators $P_{\ll N}$ and $P_{\lesssim N}$ which are defined by

$$P_{\ll N} = \sum_{M \ll N} P_M, \quad P_{\lesssim N} = \sum_{M \lesssim N} P_M,$$

and $P_{\gg N}$, $P_{\gtrsim N}$ and $P_{\sim N}$ which can be defined in a similar way. The Littlewood-Paley operators commute with derivative operators (including $|\nabla|^s$ and $i\partial_t - \partial_{xx}$), the propagator $S(t) = e^{-it\partial_x^2}$, and conjugation operations, are self-adjoint, and are bounded on every Lebesgue space L^p and homogeneous Sobolev space \dot{H}^s if $1 \leq p \leq \infty$. Furthermore, they obey the following Sobolev and Bernstein estimates for \mathbb{R} with $s \geq 0$ and $1 \leq p \leq \infty$ (which is similar to those of three dimensions [5]):

$$\begin{aligned} \|P_{\geq N} f\|_{L^p} &\lesssim N^{-s} \|\nabla^s P_{\geq N} f\|_{L^p}, \\ \|P_{\leq N} |\nabla|^s f\|_{L^p} &\lesssim N^s \|P_{\leq N} f\|_{L^p}, \\ \|P_N |\nabla|^{\pm s} f\|_{L^p} &\lesssim N^{\pm s} \|P_N f\|_{L^p}, \end{aligned}$$

which can be verified by combining the Bernstein multiplier theorem [1] and the interpolation theorem of Sobolev spaces.

We define the Lebesgue spaces $L_T^q L_x^p$ and $L_x^p L_T^q$ by the norms

$$\|f\|_{L_T^q L_x^p} = \left\| \|f\|_{L_x^p(\mathbb{R})} \right\|_{L_t^q([0,T])}, \quad \|f\|_{L_x^p L_T^q} = \left\| \|f\|_{L_t^q([0,T])} \right\|_{L_x^p(\mathbb{R})}.$$

In particular, we abbreviate $L_T^q L_x^p$ or $L_x^p L_T^q$ as $L_{x,T}^p$ in the case $p = q$.

We also use the elementary inequality [5]

$$\left\| \left(\sum_N |f_N|^2 \right)^{1/2} \right\|_{L_T^q L_x^p} \leq \left(\sum_N \|f_N\|_{L_T^q L_x^p}^2 \right)^{1/2},$$

for all $2 \leq q, p \leq \infty$ and arbitrary functions f_N , and the dual version

$$\left(\sum_N \|f_N\|_{L_T^{q'} L_x^{p'}}^2 \right)^{1/2} \leq \left\| \left(\sum_N |f_N|^2 \right)^{1/2} \right\|_{L_T^{q'} L_x^{p'}},$$

where p' is the conjugate number of p given by $1/p + 1/p' = 1$. It is easy to verify that they also hold if we replace the norm $L_T^q L_x^p$ by the norm $L_x^p L_T^q$ in both side of the above inequalities.

Let $\langle \cdot \rangle = (1 + |\cdot|^2)^{1/2}$. We use the fractional differential operators D_x^s and $\langle D_x \rangle^s$ defined by

$$D_x^s f = \mathcal{F}^{-1} |\xi|^s \mathcal{F} f, \quad \langle D_x \rangle^s f = \mathcal{F}^{-1} \langle \xi \rangle^s \mathcal{F} f.$$

Thus, we can introduce the resolution space. For $T > 0$, we define the function space X_T in a similar way as in [14] by

$$X_T := \{u \in \mathscr{S}'((-T, T) \times \mathbb{R}) : \|u\|_{X_T} < \infty\},$$

where

$$\|u\|_{X_T} = \|u\|_{L_T^\infty H^{1/2}} + \left(\sum_N \|\partial_x P_N u\|_{L_x^\infty L_T^2}^2 \right)^{1/2}$$

$$+ \left(\sum_N \|P_N u\|_{L_x^2 L_T^\infty}^2 \right)^{1/2} + \left(\sum_N \left\| \langle D_x \rangle^{\frac{1}{4}} P_N u \right\|_{L_x^4 L_T^\infty}^2 \right)^{1/2}.$$

2. Gauge transformation. We transform the equation (1.1) by introducing the following complex-valued function $v_N : \mathbb{R}^2 \rightarrow \mathbb{C}$ for a dyadic number N given by

$$v_N(t, x) = e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u(t, y)|^k dy} P_N u. \quad (2.1)$$

By computation, we have

$$\begin{aligned} i\partial_t v_N - \partial_x^2 v_N &= -i\lambda e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \left[P_N(|u|^k u_x) - |P_{\ll N} u|^k P_N u_x \right] \\ &\quad - \frac{i\lambda}{2} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u (i\partial_t - \partial_x^2) \int_{-\infty}^x |P_{\ll N} u(t, y)|^k dy \\ &\quad + \frac{\lambda^2}{4} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} |P_{\ll N} u|^{2k} P_N u. \end{aligned} \quad (2.2)$$

For the second term, we integrate by parts and have

$$\begin{aligned} &(i\partial_t - \partial_x^2) \int_{-\infty}^x |P_{\ll N} u(t, y)|^k dy \\ &= i \int_{-\infty}^x \frac{k}{2} |P_{\ll N} u|^{k-2} (\partial_t P_{\ll N} u \overline{P_{\ll N} u} + P_{\ll N} u \partial_t \overline{P_{\ll N} u}) dy \\ &\quad - \frac{k}{2} |P_{\ll N} u|^{k-2} (\partial_x P_{\ll N} u \overline{P_{\ll N} u} + P_{\ll N} u \partial_x \overline{P_{\ll N} u}) \\ &= \int_{-\infty}^x \frac{k}{2} |P_{\ll N} u|^{k-2} \left(\overline{P_{\ll N} u} P_{\ll N} (u_{xx} - i\lambda |u|^k u_x) \right. \\ &\quad \left. - P_{\ll N} u P_{\ll N} (\bar{u}_{xx} + i\lambda |u|^k \bar{u}_x) \right) dy \\ &\quad - \frac{k}{2} |P_{\ll N} u|^{k-2} (P_{\ll N} u_x \overline{P_{\ll N} u} + P_{\ll N} u \overline{P_{\ll N} u_x}) \\ &= \int_{-\infty}^x \frac{k(k-2)}{4} |P_{\ll N} u|^{k-4} [(\overline{P_{\ll N} u_y} P_{\ll N} u)^2 - (P_{\ll N} u_y \overline{P_{\ll N} u})^2] dy \\ &\quad - \int_{-\infty}^x \frac{i\lambda k}{2} |P_{\ll N} u|^{k-2} P_{\ll N} |u|^k (u_x + \bar{u}_x) dy - k |P_{\ll N} u|^{k-2} P_{\ll N} u \overline{P_{\ll N} u} \end{aligned}$$

Thus, v_N obeys the following differential-integral equation

$$\begin{aligned} &i\partial_t v_N - \partial_x^2 v_N(t, x) \\ &= -i\lambda e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \left[P_N(|u|^k u_x) - |P_{\ll N} u|^k P_N u_x \right] \\ &\quad - \frac{i\lambda k(k-2)}{8} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u \int_{-\infty}^x |P_{\ll N} u|^{k-4} \\ &\quad \left[(\overline{P_{\ll N} u_x} P_{\ll N} u)^2 - (P_{\ll N} u_x \overline{P_{\ll N} u})^2 \right] dy \\ &\quad - \frac{\lambda^2 k}{4} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u \int_{-\infty}^x |P_{\ll N} u|^{k-2} P_{\ll N} |u|^k (u_x + \bar{u}_x) dy \\ &\quad + \frac{i\lambda k}{2} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} |P_{\ll N} u|^{k-2} P_N u P_{\ll N} u \overline{P_{\ll N} u_x} \\ &\quad + \frac{\lambda^2}{4} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} |P_{\ll N} u|^{2k} P_N u \end{aligned}$$

$$\equiv I_{N,1}(t,x) + I_{N,2}(t,x) + I_{N,3}(t,x) + I_{N,4}(t,x) + I_{N,5}(t,x). \quad (2.3)$$

The equivalent integral equation reads

$$\begin{aligned} v_N(t) = & S(t)e^{-\frac{i\lambda}{2}\int_{-\infty}^x |P_{\ll N} u_0(y)|^k dy} P_N u_0 \\ & - i \int_0^t S(t-\tau)[I_{N,1} + I_{N,2} + I_{N,3} + I_{N,4} + I_{N,5}](\tau)d\tau. \end{aligned} \quad (2.4)$$

3. Preliminaries. In order to prove the a priori estimate for the equation of v_N , we need the linear estimates associated with the one-dimensional Schrödinger equation. We first recall the Strichartz estimates, smoothing effects and maximal function estimates. For the proofs, one can see [13, 14].

Lemma 3.1. *For all $\phi \in \mathcal{S}(\mathbb{R})$, $\theta \in [0, 1]$ and $T \in (0, 1)$,*

$$\|S(t)\phi\|_{L_T^{\frac{4}{\theta}} L_x^{\frac{2}{1-\theta}}} \lesssim \|\phi\|_{L^2}, \quad (3.1)$$

$$\|S(t)P_N \phi\|_{L_x^{\frac{2}{1-\theta}} L_T^{\frac{2}{\theta}}} \lesssim \langle N \rangle^{\frac{1}{2}-\theta} \|\phi\|_{L^2}, \quad (3.2)$$

$$\|S(t)\phi\|_{L_x^4 L_T^\infty} \lesssim \|\phi\|_{\dot{H}^{\frac{1}{4}}}. \quad (3.3)$$

We also need the $L_T^q L_x^p$ and $L_x^p L_T^q$ estimates for the linear operator $f \mapsto \int_0^t S(t-\tau)f(\tau)d\tau$. For the proofs, one can see [14].

Lemma 3.2. *For $f \in \mathcal{S}(\mathbb{R}^2)$, $\theta \in [0, 1]$ and $T \in (0, 1)$,*

$$\left\| \int_0^t S(t-\tau)f(\tau)d\tau \right\|_{L_T^{\frac{4}{\theta}} L_x^{\frac{2}{1-\theta}}} \lesssim \|f\|_{L_T^{(\frac{4}{\theta})'} L_x^{(\frac{2}{1-\theta})'}}, \quad (3.4)$$

$$\left\| \langle D_x \rangle^{\frac{\theta}{2}} \int_0^t S(t-\tau)f(\tau)d\tau \right\|_{L_T^\infty L_x^2} \lesssim \|f\|_{L_x^{p(\theta)} L_T^{q(\theta)}}, \quad (3.5)$$

$$\left\| \langle D_x \rangle^{\frac{\theta}{2}} \int_0^t S(t-\tau)P_N f(\tau)d\tau \right\|_{L_x^2 L_T^\infty} \lesssim \langle N \rangle^{\frac{1}{2}} \|f\|_{L_x^{p(\theta)} L_T^{q(\theta)}}, \quad (3.6)$$

$$\left\| \langle D_x \rangle^{\frac{\theta}{2}-\frac{1}{4}} \int_0^t S(t-\tau)f(\tau)d\tau \right\|_{L_x^4 L_T^\infty} \lesssim \|f\|_{L_x^{p(\theta)} L_T^{q(\theta)}}, \quad (3.7)$$

$$\left\| \langle D_x \rangle^{\frac{1}{2}} \int_0^t S(t-\tau)f(\tau)d\tau \right\|_{L_x^\infty L_T^2} \lesssim \|f\|_{L_T^1 L_x^2}, \quad (3.8)$$

$$\left\| \int_0^t S(t-\tau)P_N f(\tau)d\tau \right\|_{L_x^{\frac{2}{\theta}} L_T^{\frac{2}{1-\theta}}} \lesssim \langle N \rangle^{\frac{1}{2}-\theta} \|f\|_{L_T^1 L_x^2}, \quad (3.9)$$

$$\left\| \int_0^t S(t-\tau)f(\tau)d\tau \right\|_{L_x^4 L_T^\infty} \lesssim \|f\|_{L_T^1 \dot{H}_x^{\frac{1}{4}}}, \quad (3.10)$$

$$\left\| \partial_x \int_0^t S(t-\tau)f(\tau)d\tau \right\|_{L_x^\infty L_T^2} \lesssim \|f\|_{L_x^1 L_T^2}, \quad (3.11)$$

where p' is the conjugate number of $p \in [1, \infty]$, i.e. $1/p + 1/p' = 1$, and

$$\frac{1}{p(\theta)} = \frac{3+\theta}{4}, \quad \frac{1}{q(\theta)} = \frac{3-\theta}{4}.$$

Next, we recall the Leibniz' rule for a product of the form $e^{iF}g$ where F is the spatial primitive of some function f . For the proof, we refer to [14, 17].

Lemma 3.3 ([14, Lemma 3.5]). *Let $\alpha \in (0, 1)$, $p, p_1, p_2, q, q_1 \in (1, \infty)$, $q_2 \in (0, \infty]$ with $\frac{1}{p} = \frac{1}{p_1} + \frac{1}{p_2}$, $\frac{1}{q} = \frac{1}{q_1} + \frac{1}{q_2}$, and let $F(t, x) = \int_{-\infty}^x f(t, y) dy$, with real-valued function f . Then*

$$\|D_x^\alpha(e^{iF}g)\|_{L_x^p L_T^q} \lesssim \|f\|_{L_x^{p_1} L_T^{q_1}} \|g\|_{L_x^{p_2} L_T^{q_2}} + \|\langle D_x \rangle^\alpha g\|_{L_x^p L_T^q}.$$

4. Bilinear estimates. In this section, we prove the following space-time estimate which is crucial to the proof of the nonlinear estimates.

Proposition 4.1. *Let $u \in H^\infty$ and $p \geq 4$ be a real number. Then we have*

$$\|u\bar{u}_x\|_{L_x^p L_T^2} \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^2 + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}. \quad (4.1)$$

Proof. By the Littlewood-Paley decomposition, we can write

$$\begin{aligned} \|u\bar{u}_x\|_{L_x^p L_T^2} &= \left\| \sum_{N_1, N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} \\ &\lesssim \left\| \sum_{N_1 \sim N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} + \left\| \sum_{N_1 \ll N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} \quad (4.2) \\ &\quad + \left\| \sum_{N_1 \gg N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} \\ &=: I_1 + I_2 + I_3. \end{aligned} \quad (4.3)$$

Now, we derive the estimates for I_1 , I_2 and I_3 , respectively.

From the Hölder inequality, the Bernstein type inequalities and the real interpolation theorem, we have

$$\begin{aligned} I_1 &\lesssim \sum_{N_1 \sim N_2} \|P_{N_1} u\|_{L_x^{2p} L_T^4} \|P_{N_2} u_x\|_{L_x^{2p} L_T^4} \lesssim \sum_{N_1 \sim N_2} \|P_{N_1} u\|_{L_x^{2p} L_T^4} N_2 \|P_{N_2} u\|_{L_x^{2p} L_T^4} \\ &\lesssim \sum_{N_1 \sim N_2} \|D_x^{1/2} P_{N_1} u\|_{L_x^{2p} L_T^4} \|D_x^{1/2} P_{N_2} u\|_{L_x^{2p} L_T^4} \lesssim \sum_N \|D_x^{1/2} P_N u\|_{L_x^{2p} L_T^4}^2 \\ &\lesssim \sum_N \|D_x^{1/2} P_N (P_{\lesssim 1} u + P_{\gg 1} u)\|_{L_x^{2p} L_T^4}^2 \\ &\lesssim \sum_N \|D_x^{1/2} P_N P_{\lesssim 1} u\|_{L_x^{2p} L_T^4}^2 + \sum_N \|D_x^{1/2} P_N P_{\gg 1} u\|_{L_x^{2p} L_T^4}^2 \\ &\lesssim \sum_N \|P_N P_{\lesssim 1} u\|_{L_x^{2p} L_T^4}^2 + \sum_N N \|P_N P_{\gg 1} u\|_{L_x^{2p} L_T^4}^2 \\ &\lesssim \sum_{N \lesssim 1} \|P_N u\|_{L_x^{2p} L_T^4}^2 + \sum_N N \|P_N P_{\gg 1} u\|_{L_x^{2p} L_T^4} \|P_N P_{\gg 1} u\|_{L_x^\infty L_T^2}. \end{aligned}$$

Applying the Sobolev embedding theorem and the Hölder inequality to the first term, and Bernstein estimates to the second term, we can see that it is bounded by

$$\lesssim T^{1/2} \|u\|_{X_T}^2 + \sum_N \|P_N P_{\gg 1} u\|_{L_x^p L_T^\infty} \|\partial_x P_N P_{\gg 1} u\|_{L_x^\infty L_T^2}.$$

By the Cauchy-Schwartz inequality and the Sobolev embedding theorem(i.e. $H_4^{1/4} \subset H_4^{1/4-1/p} \subset L^p$ for the real number $p \geq 4$), we can bound it by

$$\lesssim T^{1/2} \|u\|_{X_T}^2 + \|P_{\gg 1} u\|_{X_T}^2.$$

For I_2 or I_3 , it is suffice to consider one of them, e.g. I_2 , in view of symmetry. For $N_1 \ll N_2$, we have

$$P_{N_1} u P_{N_2} \bar{u}_x = \tilde{P}_{N_2}(P_{N_1} u P_{N_2} \bar{u}_x),$$

where $\tilde{P}_N = \sum_{j=-2}^2 P_{2^j N}$. We split these into three cases, i.e. $N_1 \lesssim 1 \ll N_2$, $N_1 \ll N_2 \lesssim 1$ and $1 \ll N_1 \ll N_2$. For the case $N_1 \lesssim 1 \ll N_2$, from the Hölder inequality and the Littlewood-Paley theorem, we can get

$$\begin{aligned} & \left\| \sum_{N_1 \lesssim 1 \ll N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} \\ &= \|P_{\lesssim 1} u P_{N_2 \gg 1} \bar{u}_x\|_{L_x^p L_T^2} \lesssim \|P_{\lesssim 1} u\|_{L_x^p L_T^\infty} \|P_{\gg 1} u_x\|_{L_x^\infty L_T^2} \\ &\lesssim \left\| \left(\sum_M |P_M P_{\lesssim 1} u|^2 \right)^{1/2} \right\|_{L_x^p L_T^\infty} \left\| \left(\sum_M |P_M P_{\gg 1} u_x|^2 \right)^{1/2} \right\|_{L_x^\infty L_T^2} \\ &\lesssim \left(\sum_{M \lesssim 1} \|P_M u\|_{L_x^p L_T^\infty}^2 \right)^{1/2} \left(\sum_M \|P_M P_{\gg 1} u_x\|_{L_x^\infty L_T^2}^2 \right)^{1/2} \\ &\lesssim \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}. \end{aligned} \tag{4.4}$$

For the case $N_1 \ll N_2 \lesssim 1$, we have, by the Hölder inequality and the Littlewood-Paley theorem, that

$$\begin{aligned} & \left\| \sum_{N_1 \ll N_2 \lesssim 1} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} = \left\| \sum_{N_2 \lesssim 1} P_{\ll N_2} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} \\ &\lesssim T^{1/2} \left\| \left(\sum_{N_2 \lesssim 1} |P_{\ll N_2} u|^2 \right)^{1/2} \right\|_{L_x^{2p} L_T^\infty} \left\| \left(\sum_{N_2 \lesssim 1} |P_{N_2} \bar{u}_x|^2 \right)^{1/2} \right\|_{L_x^{2p} L_T^\infty} \\ &\lesssim T^{1/2} \left(\sum_{N_2 \lesssim 1} \|P_{\ll N_2} u\|_{L_x^{2p} L_T^\infty}^2 \right)^{1/2} \|u\|_{X_T}. \end{aligned} \tag{4.5}$$

For $N_2 \lesssim 1$, we have, by the Sobolev embedding theorem, that

$$\begin{aligned} & \|P_{\ll N_2} u\|_{L_x^{2p} L_T^\infty} \sim \left\| \left(\sum_M |P_M P_{\ll N_2} u|^2 \right)^{1/2} \right\|_{L_x^{2p} L_T^\infty} \lesssim \left(\sum_{M \ll N_2} \|P_M u\|_{L_x^{2p} L_T^\infty}^2 \right)^{1/2} \\ &\lesssim \left(\sum_{M \ll N_2} N_2^{2\varepsilon} M^{-2\varepsilon} \|P_M u\|_{L_x^{2p} L_T^\infty}^2 \right)^{1/2} \lesssim \left(\sum_{M \ll N_2} N_2^{2\varepsilon} \|D_x^{-\varepsilon} P_M u\|_{L_x^{2p} L_T^\infty}^2 \right)^{1/2} \end{aligned}$$

$$\lesssim N_2^\varepsilon \left(\sum_{M \lesssim 1} \|P_M u\|_{L_x^2 L_T^\infty}^2 \right)^{1/2} \lesssim N_2^\varepsilon \|u\|_{X_T},$$

where $\varepsilon = (p - 1)/2p$. Thus, (4.5) can be bounded by

$$\lesssim T^{1/2} \left(\sum_{N_2 \lesssim 1} N_2^{2\varepsilon} \right)^{1/2} \|u\|_{X_T}^2 \lesssim T^{1/2} \|u\|_{X_T}^2.$$

Now, we turn to the case $1 \ll N_1 \ll N_2$. From the Littlewood-Paley theorem, we have for $p \geq 4$

$$\begin{aligned} & \left\| \sum_{1 \ll N_1 \ll N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} = \left\| \sum_{N_1 \gg 1} P_{N_1} u P_{\gg N_1} \bar{u}_x \right\|_{L_x^p L_T^2} \\ & \lesssim \sum_{N_1 \gg 1} \|P_{N_1} u P_{\gg N_1} \bar{u}_x\|_{L_x^p L_T^2} \lesssim \sum_{N_1 \gg 1} \|P_{N_1} u\|_{L_x^p L_T^\infty} \|P_{\gg N_1} u_x\|_{L_x^\infty L_T^2}. \end{aligned} \quad (4.6)$$

Noticing that

$$\begin{aligned} \|P_{\gg N_1} u_x\|_{L_x^\infty L_T^2} & \sim \left\| \left(\sum_M |P_M P_{\gg N_1} u_x|^2 \right)^{1/2} \right\|_{L_x^\infty L_T^2} \lesssim \left(\sum_{M \gg N_1} \|P_M u_x\|_{L_x^\infty L_T^2}^2 \right)^{1/2} \\ & \lesssim \|u\|_{X_T}, \end{aligned}$$

and for $N_1 \gg 1$, $\varepsilon = 1/p$ and $p \geq 4$

$$\begin{aligned} \|P_{N_1} u\|_{L_x^p L_T^\infty} & = \|P_{N_1} (P_{\lesssim 1} u + P_{\gg 1} u)\|_{L_x^p L_T^\infty} = \|P_{N_1} P_{\gg 1} u\|_{L_x^p L_T^\infty} \\ & = N_1^{-\varepsilon} N_1^\varepsilon \|P_{N_1} P_{\gg 1} u\|_{L_x^p L_T^\infty} \sim N_1^{-\varepsilon} \|D_x^\varepsilon P_{N_1} P_{\gg 1} u\|_{L_x^p L_T^\infty} \\ & \lesssim N_1^{-\varepsilon} \left\| \langle D_x \rangle^{\frac{1}{4}} P_{N_1} P_{\gg 1} u \right\|_{L_x^4 L_T^\infty}, \end{aligned} \quad (4.7)$$

we can bound (4.6) by

$$\begin{aligned} & \lesssim \|u\|_{X_T} \sum_{N_1 \gg 1} N_1^{-\varepsilon} \left\| \langle D_x \rangle^{\frac{1}{4}} P_{N_1} P_{\gg 1} u \right\|_{L_x^4 L_T^\infty} \\ & \lesssim \|u\|_{X_T} \left(\sum_{N_1 \gg 1} N_1^{-2\varepsilon} \right)^{\frac{1}{2}} \left(\sum_{N_1 \gg 1} \left\| \langle D_x \rangle^{\frac{1}{4}} P_{N_1} P_{\gg 1} u \right\|_{L_x^4 L_T^\infty}^2 \right)^{\frac{1}{2}} \\ & \lesssim \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}, \end{aligned} \quad (4.8)$$

in view of the Hölder inequality. Thus, we have obtained

$$\left\| \sum_{1 \ll N_1 \ll N_2} P_{N_1} u P_{N_2} \bar{u}_x \right\|_{L_x^p L_T^2} \lesssim \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}, \quad \forall p \geq 4. \quad (4.9)$$

Therefore, we have the desired result (4.1) for any real number $p \geq 4$. \square

5. Nonlinear estimates. To state the estimates for the nonlinearities $I_{N,j}$, we define the function space Y_T equipped with the following norm:

$$\|u\|_{Y_T} = \|u\|_{L_T^\infty H_x^{1/2}} + \|\partial_x u\|_{L_x^\infty L_T^2} + \|u\|_{L_x^2 L_T^\infty} + \left\| \langle D_x \rangle^{\frac{1}{4}} u \right\|_{L_x^4 L_T^\infty}.$$

We have the following proposition for the nonlinearities.

Proposition 5.1. *Let u be a H^∞ -solution to (1.1)-(1.2). Then,*

$$\begin{aligned} & \left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) \sum_{j=1}^5 I_{N,j}(\tau) d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ & \lesssim (1 + \|u\|_{X_T}^k) \left[T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}} \right] \\ & \quad + T^{\frac{1}{2}} \left(\|u\|_{X_T}^{2k-1} + \|u\|_{X_T}^{(5k-2)/2} \right) \|P_{\gg 1} u\|_{X_T} \\ & \quad + T^{\frac{1}{4}} (1 + T^{\frac{1}{4}} \|u\|_{X_T})^{\frac{1}{2}} \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}^{\frac{3}{2}} \\ & \quad + (1 + \|u\|_{X_T}^k) \left[T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2 \right] \\ & \quad + T^{\frac{1}{2}} \|u\|_{X_T}^{3k} \|P_{\gg 1} u\|_{X_T}, \end{aligned}$$

where \tilde{k} denotes the maximal integer that is less than k (i.e. $\tilde{k} = [k]$ if k is not an integer and $\tilde{k} = k - 1$ if k is an integer where $[k]$ denotes the maximal integer that is less than or equal to k).

We consider each nonlinearity separately.

5.1. Nonlinear estimates of $I_{N,1}$. Noting that the term $P_N(|P_{\ll N} u|^k u_x)$ has Fourier support in $|\xi| \sim N$, we have

$$\begin{aligned} & P_N(|u|^k u_x) - |P_{\ll N} u|^k P_N u_x \\ & = P_N((|u|^k - |P_{\ll N} u|^k) u_x) + P_N(|P_{\ll N} u|^k u_x) - |P_{\ll N} u|^k P_N u_x \\ & = P_N((|u|^k - |P_{\ll N} u|^k) u_x) + P_N(|P_{\ll N} u|^k \tilde{P}_N u_x) - |P_{\ll N} u|^k P_N \tilde{P}_N u_x, \end{aligned} \quad (5.1)$$

where $\tilde{P}_N = P_{N/2} + P_N + P_{2N}$.

For the second term in (5.1), we have the following estimate.

Lemma 5.1. *Let u be a solution of (1.1)-(1.2). Then, we have for any $k \geq 4$*

$$\begin{aligned} & \left(\sum_{N \gg 1} \left\| P_N(|P_{\ll N} u|^k \tilde{P}_N u_x) - |P_{\ll N} u|^k P_N \tilde{P}_N u_x \right\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2. \end{aligned} \quad (5.2)$$

Proof. To shift a derivative from the high-frequency function $P_N u_x$ to the low-frequency function $|P_{\ll N} u|^k$, we require the following Leibniz rule for P_N from [14]:

$$(P_N(fg) - fP_N g)(x) = \int_0^1 \left(\int \varphi_N(y) y f_x(x - \eta y) g(x - y) dy \right) d\eta. \quad (5.3)$$

Thus, we have

$$\begin{aligned} & \left\| P_N(|P_{\ll N} u|^k \tilde{P}_N u_x) - |P_{\ll N} u|^k P_N \tilde{P}_N u_x \right\|_{L_x^1 L_T^2} \\ & \lesssim \|\varphi_N(y)y\|_{L_y^1} \left\| (|P_{\ll N} u|^k)_x \right\|_{L_{x,T}^2} \left\| \tilde{P}_N u_x \right\|_{L_x^2 L_T^\infty} \\ & \lesssim N^{-1} \|\varphi_1(y)y\|_{L_y^1} \left\| (|P_{\ll N} u|^k)_x \right\|_{L_{x,T}^2} \left\| \tilde{P}_N u_x \right\|_{L_x^2 L_T^\infty} \\ & \lesssim \left\| (|P_{\ll N} u|^k)_x \right\|_{L_{x,T}^2} \left\| \tilde{P}_N u \right\|_{L_x^2 L_T^\infty} \end{aligned}$$

$$\lesssim \|P_{\ll N} u\|_{L_x^{2k} L_T^\infty}^{k-2} \|P_{\ll N} u_x \overline{P_{\ll N} u}\|_{L_x^k L_T^2} \|\tilde{P}_N u\|_{L_x^2 L_T^\infty}. \quad (5.4)$$

Decomposing $P_{\ll N} u = P_{\leq 1} u + P_{1 < \cdot \ll N} u$ for $N \gg 1$, we have

$$P_{\ll N} u_x \overline{P_{\ll N} u} = P_{\leq 1} u_x \overline{P_{\ll N} u} + P_{1 < \cdot \ll N} u_x \overline{P_{\leq 1} u} + P_{1 < \cdot \ll N} u_x \overline{P_{1 < \cdot \ll N} u}. \quad (5.5)$$

For the first term in (5.5), we have

$$\begin{aligned} \|P_{\leq 1} u_x \overline{P_{\ll N} u}\|_{L_x^k L_T^2} &\lesssim \|P_{\leq 1} u_x\|_{L_x^{2k} L_T^2} \|P_{\ll N} u\|_{L_x^{2k} L_T^\infty} \\ &\lesssim T^{1/2} \|P_{\leq 1} u\|_{L_x^{2k} L_T^\infty} \|P_{\ll N} u\|_{L_x^{2k} L_T^\infty}. \end{aligned}$$

By the Littlewood-Paley theorem, we can obtain

$$\begin{aligned} \|P_{\ll N} u\|_{L_x^{2k} L_T^\infty} &\sim \left\| \left(\sum_M |P_M P_{\ll N} u|^2 \right)^{1/2} \right\|_{L_x^{2k} L_T^\infty} \lesssim \left(\sum_M \|P_M P_{\ll N} u\|_{L_x^{2k} L_T^\infty}^2 \right)^{1/2} \\ &\lesssim \left(\sum_{M \ll N} \|P_M u\|_{L_x^{2k} L_T^\infty}^2 \right)^{1/2} \lesssim \left(\sum_{M \gg 1} \|P_M u\|_{L_x^{2k} L_T^\infty}^2 \right)^{1/2} \\ &\lesssim \left(\sum_{M \gg 1} \left\| \langle D_x \rangle^{\frac{1}{4}} P_M u \right\|_{L_x^4 L_T^\infty}^2 \right)^{1/2} \lesssim \|P_{\gg 1} u\|_{X_T}. \end{aligned} \quad (5.6)$$

In the similar way, we have

$$\|P_{\leq 1} u\|_{L_x^{2k} L_T^\infty} \lesssim \|u\|_{X_T}.$$

Thus,

$$\|P_{\leq 1} u_x \overline{P_{\ll N} u}\|_{L_x^k L_T^2} \lesssim T^{1/2} \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}. \quad (5.7)$$

For the last two term in (5.5), in a similar way as in the proof of Proposition 4.1, we can obtain the following bound:

$$\|P_{\leq 1} \bar{u} P_{1 < \cdot \ll N} u_x\|_{L_x^k L_T^2} \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^2 + \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}, \quad (5.8)$$

$$\|P_{1 < \cdot \ll N} \bar{u} P_{1 < \cdot \ll N} u_x\|_{L_x^k L_T^2} \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^2 + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T} \|P_{\gg 1} u\|_{X_T}. \quad (5.9)$$

From the Sobolev embedding theorem and (5.7)-(5.9), we obtain that (5.4) can be bounded by

$$\lesssim \left(T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right) \|\tilde{P}_N u\|_{L_x^2 L_T^\infty}.$$

Thus, we can bound (5.2) by

$$\begin{aligned} &\lesssim \left(T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right) \left(\sum_{N \gg 1} \|P_N u\|_{L_x^2 L_T^\infty}^2 \right)^{1/2} \\ &\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2, \end{aligned}$$

which yields the desired result. \square

For the first term in (5.1), we have the following estimate:

Lemma 5.2. *Let u be a solution of (1.1)-(1.2). Then, we have for any $k \geq 4$*

$$\begin{aligned} & \left(\sum_{N \gg 1} \|P_N(|u|^k - |P_{\ll N} u|^k)u_x\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}}. \end{aligned} \quad (5.10)$$

Proof. We split (5.1) into several terms for $N \gg 1$ and $k \geq 4$

$$P_N(|u|^k - |P_{\ll N} u|^k)u_x \quad (5.11)$$

$$= P_N(|u|^{k-2} \bar{u} u_x P_{\gtrsim N} u) \quad (5.12)$$

$$+ P_N(|u|^{k-2} - |P_{\ll N} u|^{k-2}) \bar{u} u_x P_{\ll N} u \quad (5.13)$$

$$+ P_N(|P_{\ll N} u|^{k-2} u_x P_{\ll N} u P_{\gtrsim N} \bar{u}). \quad (5.14)$$

Notice that

$$\begin{aligned} \|P_{\gtrsim N} u\|_{L_x^k L_T^\infty} & \lesssim \left(\sum_{M \gtrsim N} \|P_M u\|_{L_x^k L_T^\infty}^2 \right)^{1/2} \lesssim \left(\sum_{M \gtrsim N} N^{-2\varepsilon_k} M^{2\varepsilon_k} \|P_M u\|_{L_x^k L_T^\infty}^2 \right)^{1/2} \\ & \lesssim \left(\sum_{M \gtrsim N} N^{-2\varepsilon_k} \|D_x^{\varepsilon_k} P_M u\|_{L_x^k L_T^\infty}^2 \right)^{1/2} \\ & \lesssim N^{-\varepsilon_k} \left(\sum_{M \gtrsim N} \|\langle D_x \rangle^{\frac{1}{4}} P_M u\|_{L_x^4 L_T^\infty}^2 \right)^{1/2} \\ & \lesssim N^{-\varepsilon_k} \|P_{\gg 1} u\|_{X_T}, \quad \forall k \geq 4, \end{aligned}$$

where $\varepsilon_k > 0$ is defined by $\varepsilon_k = 1/k$.

Thus, for the first term (5.12), from the fact $\|\check{\varphi}_N\|_{L^1} \lesssim 1$ and Proposition 4.1, we have for $k \geq 4$

$$\begin{aligned} & \|P_N(|u|^{k-2} \bar{u} u_x P_{\gtrsim N} u)\|_{L_x^1 L_T^2} \lesssim \| |u|^{k-2} \bar{u} u_x P_{\gtrsim N} u \|_{L_x^1 L_T^2} \\ & \lesssim \|u\|_{L_x^k L_T^\infty}^{k-2} \|\bar{u} u_x\|_{L_x^k L_T^2} \|P_{\gtrsim N} u\|_{L_x^k L_T^\infty} \\ & \lesssim N^{-\varepsilon_k} \left[T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T}^2 \right]. \end{aligned}$$

Therefore, we obtain, for any $k \geq 4$, that

$$\begin{aligned} & \left(\sum_{N \gg 1} \|P_N(|u|^{k-2} \bar{u} u_x P_{\gtrsim N} u)\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T}^2. \end{aligned}$$

For (5.14), in the same way as the case (5.12), we have

$$\begin{aligned} & \left(\sum_{N \gg 1} \|P_N(|P_{\ll N} u|^{k-2} u_x P_{\ll N} u P_{\gtrsim N} \bar{u})\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T}^2. \end{aligned}$$

Now, we derive the estimate for (5.13) by using the induction argument in k .

For $k = 4$, we have

$$|||u|^{k-2} - |P_{\ll N} u|^{k-2}| \lesssim |P_{\gtrsim N} u|^2 + |P_{\gtrsim N} u \overline{P_{\ll N} u}|.$$

From the Young inequality, the Hölder inequality, (5.6) and Proposition 4.1, we can get for $k = 4$

$$\begin{aligned} & \|P_N((|u|^{k-2} - |P_{\ll N} u|^{k-2})\bar{u}u_x P_{\ll N} u)\|_{L_x^1 L_T^2} \\ & \lesssim \|u|^{k-2} - |P_{\ll N} u|^{k-2}\|_{L_x^{2k/(k-2)} L_T^\infty} \|\bar{u}u_x\|_{L_x^k L_T^2} \|P_{\ll N} u\|_{L_x^2 L_T^\infty} \\ & \lesssim (\|P_{\gtrsim N} u\|_{L_x^8 L_T^\infty}^2 + \|P_{\gtrsim N} u\|_{L_x^8 L_T^\infty} \|P_{\ll N} u\|_{L_x^8 L_T^\infty}) \|\bar{u}u_x\|_{L_x^k L_T^2} \|P_{\ll N} u\|_{L_x^2 L_T^\infty} \\ & \lesssim N^{-1/8} \|P_{\gg 1} u\|_{X_T}^2 \|\bar{u}u_x\|_{L_x^k L_T^2} \|P_{\ll N} u\|_{L_x^2 L_T^\infty} \\ & \lesssim N^{-1/8} \left[T^{\frac{1}{2}} \|u\|_{X_T}^3 \|P_{\gg 1} u\|_{X_T}^{k-2} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^2 \|P_{\gg 1} u\|_{X_T}^{k-1} \right]. \end{aligned}$$

From the triangle inequality for complex number, i.e. $||z_1| - |z_2|| \leq |z_1 - z_2|$ for $z_1, z_2 \in \mathbb{C}$, we can get $||z_1|^\theta - |z_2|^\theta| \leq |z_1 - z_2|^\theta$ for any $\theta \in (0, 1]$.

For $k \in (4, 5]$, we have

$$\begin{aligned} & |||u|^{k-2} - |P_{\ll N} u|^{k-2}| \\ & \lesssim |u|^2 |||u|^{k-4} - |P_{\ll N} u|^{k-4}| + |P_{\ll N} u|^{k-4} |||u|^2 - |P_{\ll N} u|^2| \\ & \lesssim |u|^2 |P_{\gtrsim N} u|^{k-4} + |P_{\ll N} u|^{k-4} |P_{\gtrsim N} u|^2 + |P_{\gtrsim N} u| |P_{\ll N} u|^{k-3}. \end{aligned}$$

Then

$$\begin{aligned} & \|P_N((|u|^{k-2} - |P_{\ll N} u|^{k-2})\bar{u}u_x P_{\ll N} u)\|_{L_x^1 L_T^2} \\ & \lesssim \left[\|u\|_{L_x^{2k} L_T^\infty}^2 \|P_{\gtrsim N} u\|_{L_x^{2k} L_T^\infty}^{k-4} + \|P_{\ll N} u\|_{L_x^{2k} L_T^\infty}^{k-4} \|P_{\gtrsim N} u\|_{L_x^{2k} L_T^\infty}^2 \right. \\ & \quad \left. + \|P_{\gtrsim N} u\|_{L_x^{2k} L_T^\infty} \|P_{\ll N} u\|_{L_x^{2k} L_T^\infty}^{k-3} \right] \|\bar{u}u_x\|_{L_x^k L_T^2} \|P_{\ll N} u\|_{L_x^2 L_T^\infty} \\ & \lesssim N^{-\varepsilon_k} \left[T^{\frac{1}{2}} \|u\|_{X_T}^4 \|P_{\gg 1} u\|_{X_T}^{k-3} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^3 \|P_{\gg 1} u\|_{X_T}^{k-2} \right], \end{aligned}$$

where $\varepsilon_k = (k-4)/2k$ for $k \in (4, 5]$. By the same procedure, we can obtain for any $k \geq 4$

$$\begin{aligned} & \|P_N((|u|^{k-2} - |P_{\ll N} u|^{k-2})\bar{u}u_x P_{\ll N} u)\|_{L_x^1 L_T^2} \\ & \lesssim N^{-\varepsilon_k} \left[T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}} \right], \end{aligned}$$

where $\varepsilon_k = (k-\tilde{k})/2k > 0$. Therefore, we have for any $k \geq 4$

$$\begin{aligned} & \left(\sum_{N \gg 1} \|P_N((|u|^{k-2} - |P_{\ll N} u|^{k-2})\bar{u}u_x P_{\ll N} u)\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}}. \end{aligned} \tag{5.15}$$

Thus, we have proved the desired result. \square

Remark 5.1. From the proof of Lemma 5.2, we can see that

$$\left(\sum_{N \gg 1} \|P_N((|u|^k - |P_{\ll N} u|^k)u_x)\|_{L_x^{\frac{1}{1-\varepsilon}} L_T^2}^2 \right)^{1/2}$$

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}}, \quad (5.16)$$

holds for any $\varepsilon \in [0, 1)$ in view of Proposition 4.1.

We turn to the proof of Proposition 5.1 for the nonlinearity $I_{N,1}$. We also consider the decomposition in (5.1). For convenience, we denote $B_N = P_N(|P_{\ll N} u|^k \tilde{P}_N u_x) - |P_{\ll N} u|^k P_N \tilde{P}_N u_x$. From (3.5), (3.11), (3.6) and (3.7), we have

$$\begin{aligned} & \left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_N d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ & \lesssim \left(\sum_{N \gg 1} \|B_N\|_{L_x^1 L_T^2}^2 \right)^{1/2} + \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_N) \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2}. \end{aligned} \quad (5.17)$$

By Lemma 5.1, the first term can be bounded by

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2.$$

For the second term, we split the sum \sum_M into three parts $\sum_{M \sim N} + \sum_{M \ll N} + \sum_{M \gg N}$ as in [14]. For the part of $M \sim N$, it is the same as Lemma 5.1 by summing in M such that $M \sim N$. For the part $M \ll N$, we can add the projection operator $P_{\sim N}$ to $e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}$ since B_N has Fourier support in $|\xi| \sim N$. Thus, by the Hölder inequality, we have

$$\begin{aligned} & \left(\sum_{N \gg 1} \left(\sum_{M \ll N} \left\| P_M(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_N) \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2} \\ & \lesssim \left(\sum_{N \gg 1} \left(\sum_{M \ll N} \left\| P_{\sim N} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_N \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2} \\ & \lesssim \left(\sum_{N \gg 1} (\ln N)^2 \left\| P_{\sim N} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_x^{1/\varepsilon} L_T^\infty}^2 \|B_N\|_{L_x^{1/(1-\varepsilon)} L_T^2}^2 \right)^{1/2}, \end{aligned} \quad (5.18)$$

where $\varepsilon \in (0, 1/k)$.

By the Bernstein inequality, we have

$$\begin{aligned} N \left\| P_{\sim N} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_x^{1/\varepsilon} L_T^\infty} & \lesssim \left\| \partial_x P_{\sim N} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_x^{1/\varepsilon} L_T^\infty} \\ & \lesssim \|P_{\ll N} u\|_{L_x^{k/\varepsilon} L_T^\infty}^k \lesssim \|u\|_{X_T}^k, \end{aligned}$$

and from (5.3) and the Hölder inequality, we can get, as a similar way as in (5.4), that

$$\begin{aligned} & \|B_N\|_{L_x^{1/(1-\varepsilon)} L_T^2} = \left\| (|P_{\ll N} u|^k)_x \right\|_{L_x^{2/(1-2\varepsilon)} L_T^2} \left\| \tilde{P}_N u \right\|_{L_x^2 L_T^\infty} \\ & \lesssim \|P_{\ll N} u\|_{L_x^{\frac{2k(k-2)}{k-2-2k\varepsilon}} L_T^\infty}^{k-2} \|P_{\ll N} u_x P_{\ll N} \bar{u}\|_{L_x^k L_T^2} \left\| \tilde{P}_N u \right\|_{L_x^2 L_T^\infty} \\ & \lesssim \left(T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right) \|P_N u\|_{L_x^2 L_T^\infty}. \end{aligned}$$

Thus, (5.18) can be bounded by

$$\begin{aligned} &\lesssim \|u\|_{X_T}^k \left(T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right) \left(\sum_{N \gg 1} \|P_N u\|_{L_x^2 L_T^\infty}^2 \right)^{1/2} \\ &\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k} \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}^2. \end{aligned}$$

For the part $M \gg N$, we can add the projection operator P_M to $e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}$. In a similar way with the part $M \ll N$, we have

$$\begin{aligned} &\left(\sum_{N \gg 1} \left(\sum_{M \gg N} \left\| P_M \left(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_N \right) \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2} \\ &\lesssim \left(\sum_{N \gg 1} \left(\sum_{M \gg N} \left\| P_M e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_x^{1/\varepsilon} L_T^\infty} \|B_N\|_{L_x^{1/(1-\varepsilon)} L_T^2} \right)^2 \right)^{1/2} \\ &\lesssim \left(\sum_{N \gg 1} \left(\sum_{M \gg N} \frac{1}{M} \left(T^{\frac{1}{2}} \|u\|_{X_T}^{2k} \|P_N u\|_{L_x^2 L_T^\infty} \right. \right. \right. \\ &\quad \left. \left. \left. + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T} \|P_N u\|_{L_x^2 L_T^\infty} \right) \right)^2 \right)^{1/2} \\ &\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k} \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}^2. \end{aligned}$$

For the first term in (5.1), we denote it by A_N , i.e. $A_N = P_N((|u|^k - |P_{\ll N} u|^k)u_x)$. Similarly, from (3.5), (3.11), (3.6) and (3.7), we can get

$$\begin{aligned} &\left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} A_N d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ &\lesssim \left(\sum_{N \gg 1} \|A_N\|_{L_x^1 L_T^2}^2 \right)^{1/2} + \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M \left(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} A_N \right) \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2}. \end{aligned} \tag{5.19}$$

From Lemma 5.2, the first term is bounded by

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}}.$$

Noticing that (5.16), and in the same way as in dealing with the second term of (5.17), we can bound the second term of (5.19) by

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{k+\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k+\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}}.$$

Therefore, we have obtained

$$\begin{aligned} &\left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) I_{N,1}(\tau) d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ &\lesssim (1 + \|u\|_{X_T}^k) \left[T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}} \right]. \end{aligned}$$

5.2. **Nonlinear estimates of $I_{N,2}$.** From (3.4), (3.8), (3.9) and (3.10), we have

$$\begin{aligned} & \left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) I_{N,2}(\tau) d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ & \lesssim \left(\sum_{N \gg 1} \left\| e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,2} \right\|_{L_T^1 H_x^{1/2}}^2 \right)^{1/2} \end{aligned} \quad (5.20)$$

$$+ \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,2}) \right\|_{L_T^1 H_x^{1/2}} \right)^2 \right)^{1/2}, \quad (5.21)$$

where $B_{N,2} = \int_{-\infty}^x |P_{\ll N} u|^{k-4} [(\overline{P_{\ll N} u_x} P_{\ll N} u)^2 - (P_{\ll N} u_x \overline{P_{\ll N} u})^2] dy$.

For the first term (5.20), from Lemma 3.3 and the Hölder inequality, it can be bounded by

$$\begin{aligned} & \lesssim \left(\sum_{N \gg 1} \|P_N u B_{N,2}\|_{L_T^1 L_x^2}^2 \right. \\ & \quad \left. + \|P_N u B_{N,2}\|_{L_T^1 L_x^2} \left\| \partial_x (e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,2}) \right\|_{L_T^1 L_x^2} \right)^{1/2} \\ & \lesssim \left(\sum_{N \gg 1} \|P_N u\|_{L_T^\infty L_x^2}^2 \|B_{N,2}\|_{L_T^1 L_x^\infty}^2 + \|P_N u\|_{L_T^\infty H_x^{1/2}}^2 \|B_{N,2}\|_{L_T^1 L_x^\infty}^2 \right. \\ & \quad \left. + \|P_N u\|_{L_T^\infty L_x^2} \|B_{N,2}\|_{L_T^1 L_x^\infty}^2 \|P_{\ll N} u\|_{L_x^{2(k+1)} L_T^\infty}^k \|P_N u\|_{L_x^{2(k+1)} L_T^\infty} \right. \\ & \quad \left. + \|P_N u\|_{L_T^\infty L_x^2} \|B_{N,2}\|_{L_T^1 L_x^\infty} \|P_N u\|_{L_x^\infty L_T^2} \|\partial_x B_{N,2}\|_{L_{x,T}^2} \right)^{1/2}. \end{aligned} \quad (5.22)$$

By the Hölder inequality, we have for $k \geq 5$

$$\begin{aligned} \|B_{N,2}\|_{L_T^1 L_x^\infty} & \lesssim \left\| |P_{\ll N} u|^{k-4} [(\overline{P_{\ll N} u_x} P_{\ll N} u)^2 - (P_{\ll N} u_x \overline{P_{\ll N} u})^2] \right\|_{L_{x,T}^1} \\ & \lesssim \left\| \overline{P_{\ll N} u} P_{\ll N} u_x \right\|_{L_x^4 L_T^2}^2 \|P_{\ll N} u\|_{L_x^{2(k-4)} L_T^\infty}^{k-4} \\ & \lesssim T \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 \|u\|_{X_T}^{k-2} \|P_{\gg 1} u\|_{X_T}^2, \end{aligned} \quad (5.23)$$

and from Proposition 4.1 and the proof of Lemma 5.1,

$$\begin{aligned} & \|P_N u\|_{L_x^\infty L_T^2} \|\partial_x B_{N,2}\|_{L_{x,T}^2} \\ & = \|P_N u\|_{L_x^\infty L_T^2} \left\| |P_{\ll N} u|^{k-4} [(\overline{P_{\ll N} u_x} P_{\ll N} u)^2 - (P_{\ll N} u_x \overline{P_{\ll N} u})^2] \right\|_{L_{x,T}^2} \\ & \lesssim \|P_N u_x\|_{L_x^\infty L_T^2} \|P_{\ll N} u_x P_{\ll N} \bar{u}\|_{L_x^{2(k-1)} L_T^2} \|P_{\ll N} u\|_{L_x^{2(k-1)} L_T^\infty}^{k-2} \\ & \lesssim \|P_N u_x\|_{L_x^\infty L_T^2} \left[T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right]. \end{aligned}$$

Thus, we can bound (5.20) by

$$\lesssim (1 + \|u\|_{X_T}^k) \left(T^{1/2} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 \|u\|_{X_T}^{k-2} \|P_{\gg 1} u\|_{X_T}^3 \right).$$

For (5.21), we split the sum \sum_M into two parts $\sum_{M \lesssim N} + \sum_{M \gg N}$, which gives the bound by

$$\lesssim \left(\sum_{N \gg 1} \left(\sum_{M \lesssim N} \langle M \rangle^{\frac{1}{2}} \|P_N u B_{N,2}\|_{L_T^1 L_x^2} \right)^2 \right)^{1/2} \quad (5.24)$$

$$+ \left(\sum_{N \gg 1} \left(\sum_{M \gg N} \left\| P_M \langle D_x \rangle^{\frac{1}{2}} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,2} \right\|_{L_T^1 L_x^2} \right)^2 \right)^{1/2}. \quad (5.25)$$

For the first term (5.24), noticing that $\sum_{M \lesssim N} \langle M \rangle^{1/2} \lesssim N^{1/2}$ and (5.23), we can bound it by

$$\begin{aligned} &\lesssim \left(\sum_{N \gg 1} \left(\|D_x^{\frac{1}{2}} P_N u\|_{L_T^\infty L_x^2} \|B_{N,2}\|_{L_T^1 L_x^\infty} \right)^2 \right)^{1/2} \\ &\lesssim \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T}. \end{aligned}$$

For the second term (5.25), in a similar way with (5.22), we bound it by

$$\begin{aligned} &\lesssim \left(\sum_{N \gg 1} \left(\sum_{M \gg N} M^{-\frac{1}{2}} \left\| P_M \langle D_x \rangle e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,2} \right\|_{L_T^1 L_x^2} \right)^2 \right)^{1/2} \\ &\lesssim \left(\sum_{N \gg 1} \|P_N u B_{N,2}\|_{L_T^1 L_x^2}^2 + \left\| \partial_x e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,2} \right\|_{L_T^1 L_x^2}^2 \right)^{1/2} \\ &\lesssim (1 + \|u\|_{X_T}^k) \left(T^{1/2} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 \|u\|_{X_T}^{k-2} \|P_{\gg 1} u\|_{X_T}^3 \right). \end{aligned}$$

Therefore, we obtain

$$\begin{aligned} &\left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) I_{N,2}(\tau) d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ &\lesssim (1 + \|u\|_{X_T}^k) \left(T^{1/2} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 \|u\|_{X_T}^{k-2} \|P_{\gg 1} u\|_{X_T}^3 \right). \end{aligned}$$

5.3. Nonlinear estimates of $I_{N,3}$. From (3.4), (3.8), (3.9) and (3.10), we have

$$\left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) I_{N,2}(\tau) d\tau \right\|_{Y_T}^2 \right)^{1/2} \quad (5.26)$$

$$\lesssim \left(\sum_{N \gg 1} \left\| e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,3} \right\|_{L_T^1 H_x^{1/2}}^2 \right)^{1/2} \quad (5.27)$$

$$+ \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M (e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} P_N u B_{N,3}) \right\|_{L_T^1 H_x^{1/2}} \right)^2 \right)^{1/2}, \quad (5.28)$$

where $B_{N,3} = \int_{-\infty}^x |P_{\ll N} u|^{k-2} P_{\ll N} |u|^k (u_x + \bar{u}_x) dy$.

By Hölder inequality, we get

$$\|B_{N,3}\|_{L_T^1 L_x^\infty} \lesssim \left\| |P_{\ll N} u|^{k-2} P_{\ll N} |u|^k (u_x + \bar{u}_x) \right\|_{L_{x,T}^1}$$

$$\begin{aligned}
&\lesssim T^{\frac{1}{2}} \|P_{\ll N} u\|_{L_x^{2k-2} L_T^\infty}^{k-2} \|P_{\ll N} |u|^k (u_x + \bar{u}_x)\|_{L_x^{(2k-2)/k} L_T^2} \\
&\lesssim T^{\frac{1}{2}} \|P_{\ll N} u\|_{L_x^{2k-2} L_T^\infty}^{k-2} \|u\|_{L_x^{2k-2} L_T^\infty}^k \|u_x\|_{L_x^\infty L_T^2} \\
&\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k-1}.
\end{aligned}$$

From the Hölder inequality and Proposition 4.1, we have

$$\begin{aligned}
\|\partial_x B_{N,3}\|_{L_{x,T}^2} &= \left\| |P_{\ll N} u|^{k-2} P_{\ll N} |u|^k (u_x + \bar{u}_x) \right\|_{L_{x,T}^2} \\
&\lesssim \|P_{\ll N} u\|_{L_x^{4k-4} L_T^\infty}^{k-2} \|u\|_{L_x^{4k-4} L_T^\infty}^k \|\bar{u} u_x\|_{L_x^{4k-4} L_T^2} \\
&\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}.
\end{aligned}$$

In addition, for $N \gg 1$, we have $\|P_N u\|_{L_x^\infty L_T^2} \lesssim \|P_N u_x\|_{L_x^\infty L_T^2}$. Thus, in the same way as in the case $I_{N,2}$, we can bound (5.26) by

$$\begin{aligned}
&\lesssim T^{\frac{1}{2}} \left(\|u\|_{X_T}^{2k-1} + \|u\|_{X_T}^{(5k-2)/2} \right) \|P_{\gg 1} u\|_{X_T} \\
&\quad + T^{\frac{1}{4}} (1 + T^{\frac{1}{4}} \|u\|_{X_T})^{\frac{1}{2}} \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}^{\frac{3}{2}}.
\end{aligned}$$

5.4. Nonlinear estimates of $I_{N,4}$. From (3.5), (3.11), (3.6) and (3.7), we have

$$\begin{aligned}
&\left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_{N,4} d\tau \right\|_{Y_T}^2 \right)^{1/2} \\
&\lesssim \left(\sum_{N \gg 1} \|B_{N,4}\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\
&\quad + \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M (e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_{N,4}) \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2}, \tag{5.29}
\end{aligned}$$

where $B_{N,4} = |P_{\ll N} u|^{k-2} P_N u P_{\ll N} u \overline{P_{\ll N} u_x}$. By the Hölder inequality, we have

$$\begin{aligned}
\|B_{N,4}\|_{L_x^1 L_T^2} &\lesssim \|P_{\ll N} u\|_{L_x^k L_T^\infty}^{k-2} \|P_N u\|_{L_x^k L_T^\infty} \left\| P_{\ll N} u \overline{P_{\ll N} u_x} \right\|_{L_x^k L_T^2} \\
&\lesssim \left[T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right] \|P_N u\|_{L_x^k L_T^\infty}.
\end{aligned}$$

Thus, the first term in (5.29) can be bounded by

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2.$$

By the Hölder inequality, we get

$$\begin{aligned}
\|B_{N,4}\|_{L_x^{\frac{1}{1-\varepsilon}} L_T^2} &\lesssim \|P_{\ll N} u\|_{L_x^{\frac{k(k-2)}{k(1-\varepsilon)-2}} L_T^\infty}^{k-2} \|P_N u\|_{L_x^k L_T^\infty} \left\| P_{\ll N} u \overline{P_{\ll N} u_x} \right\|_{L_x^k L_T^2} \\
&\lesssim \left[T^{\frac{1}{2}} \|u\|_{X_T}^k + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T} \right] \|P_N u\|_{L_x^k L_T^\infty}.
\end{aligned}$$

Noticing that $B_{N,4}$ has Fourier support in $|\xi| \sim N$, we can repeat the procedure which we use to deal with the second term in (5.17), and obtain that the second term in (5.29) can be bounded by

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k} \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}^2.$$

Therefore, we obtain

$$\begin{aligned} & \left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) I_{N,4}(\tau) d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ & \lesssim (1 + \|u\|_{X_T}^k) \left[T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2 \right]. \end{aligned}$$

5.5. Nonlinear estimates of $I_{N,5}$. From (3.5), (3.11), (3.6) and (3.7), we have

$$\begin{aligned} & \left(\sum_{N \gg 1} \left\| \int_0^t S(t-\tau) e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_{N,5} d\tau \right\|_{Y_T}^2 \right)^{1/2} \\ & \lesssim \left(\sum_{N \gg 1} \|B_{N,5}\|_{L_x^1 L_T^2}^2 \right)^{1/2} \\ & + \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} B_{N,5}) \right\|_{L_x^1 L_T^2} \right)^2 \right)^{1/2}, \end{aligned} \quad (5.30)$$

where $B_{N,5} = |P_{\ll N} u|^{2k} P_N u$. By the Hölder inequality, we have

$$\begin{aligned} \|B_{N,5}\|_{L_x^1 L_T^2} & \lesssim T^{\frac{1}{2}} \|P_{\ll N} u\|_{L_x^{4k} L_T^\infty}^{2k} \|P_N u\|_{L_x^2 L_T^\infty} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k} \|P_N u\|_{L_x^2 L_T^\infty}, \end{aligned}$$

and

$$\begin{aligned} \|B_{N,5}\|_{L_x^{\frac{1}{1-\varepsilon}} L_T^2} & \lesssim T^{\frac{1}{2}} \|P_{\ll N} u\|_{L_x^{\frac{4k}{1-2\varepsilon}} L_T^\infty}^{2k} \|P_N u\|_{L_x^2 L_T^\infty} \\ & \lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{2k} \|P_N u\|_{L_x^2 L_T^\infty}. \end{aligned}$$

Thus, in a similar way as dealing with $I_{N,1}$ and $I_{N,4}$, and noticing that $B_{N,5}$ has Fourier support in $|\xi| \sim N$, we can bound (5.30) by

$$\lesssim T^{\frac{1}{2}} \|u\|_{X_T}^{3k} \|P_{\gg 1} u\|_{X_T}.$$

6. A priori estimates for solutions. By the scaling argument

$$u(t, x) \mapsto u_\gamma(t, x) = \frac{1}{\gamma^{1/k}} u\left(\frac{t}{\gamma^2}, \frac{x}{\gamma}\right),$$

we have

$$\begin{aligned} \|u_{0,\gamma}\|_{L^2} & = \gamma^{\frac{1}{2} - \frac{1}{k}} \|u_0\|_{L^2}, \\ \|u_{0,\gamma}\|_{\dot{H}^{\frac{1}{2}}} & = \frac{1}{\gamma^{1/k}} \|u_0\|_{\dot{H}^{\frac{1}{2}}}. \end{aligned}$$

Thus, we may rescale

$$\begin{aligned} \|P_{\lesssim 1} u_{0,\gamma}\|_{L^2} & \leq \gamma^{\frac{1}{2} - \frac{1}{k}} \|u_0\|_{L^2} = C_{low}, \\ \|P_{\gg 1} u_{0,\gamma}\|_{\dot{H}^{\frac{1}{2}}} & \leq \frac{1}{\gamma^{1/k}} \|u_0\|_{\dot{H}^{\frac{1}{2}}} < C_{high} \ll 1, \end{aligned}$$

where we choose $\gamma = \gamma(\|u_0\|_{H^{1/2}}) \gg 1$, and take the time interval T depending on γ later. We now drop the writing of the scaling parameter γ and assume

$$\|P_{\lesssim 1} u_0\|_{L^2} \leq C_{low},$$

$$\|P_{\gg 1} u_0\|_{H^{\frac{1}{2}}} \leq C_{high} \ll 1.$$

We now apply this to the norms X_T and $H^{1/2}$, and define new version of the norms of X_T and $H^{1/2}$, given by with the decomposition $I = P_{\lesssim 1} + P_{\gg 1}$,

$$\|u\|_{\tilde{X}_T} = \frac{1}{C_{low}} \|P_{\lesssim 1} u\|_{X_T} + \frac{1}{C_{high}} \|P_{\gg 1} u\|_{X_T},$$

and

$$\|\phi\|_{\tilde{H}^{1/2}} = \frac{1}{C_{low}} \|P_{\lesssim 1} \phi\|_{L^2} + \frac{1}{C_{high}} \|P_{\gg 1} \phi\|_{H^{1/2}},$$

which implies that $\|u_0\|_{\tilde{H}^{1/2}} \leq 2$.

For the low frequency part, we have the following estimates.

Lemma 6.1. *Let u be a solution of (1.1)-(1.2). Then*

$$\|P_{\lesssim 1} u\|_{X_T} \lesssim C_{low} + T^{1/2} \|u\|_{X_T}^{k+1}.$$

Proof. Using the integral equation of (1.1)

$$u(t) = S(t)u_0 - \lambda \int_0^t S(t-\tau) |u(\tau)|^k u_x(\tau) d\tau,$$

and by (3.1), (3.2), (3.3), (3.8), (3.9), (3.10) and the Hölder inequality, we have

$$\begin{aligned} \|P_{\lesssim 1} u\|_{X_T} &\lesssim \|S(t)P_{\lesssim 1} u_0\|_{X_T} + \left\| \int_0^t S(t-\tau) P_{\lesssim 1}(|u|^k u_x)(\tau) d\tau \right\|_{X_T} \\ &\lesssim \|P_{\lesssim 1} u_0\|_{L^2} + \|P_{\lesssim 1}(|u|^k u_x)\|_{L_T^1 H_x^{1/2}} \lesssim C_{low} + \||u|^k u_x\|_{L_T^1 L_x^2} \\ &\lesssim C_{low} + T^{1/2} \|u\|_{L_x^{2k} L_T^\infty}^k \|u_x\|_{L_x^\infty L_T^2} \\ &\lesssim C_{low} + T^{1/2} \|u\|_{X_T}^{k+1}, \end{aligned}$$

which is the desired result. \square

For the high frequency part, we have

Lemma 6.2. *Let u and v_N be given in (2.1). Then*

$$\|P_{\gg 1} u\|_{X_T} \lesssim (1 + \|u\|_{L_T^\infty H_x^{1/2}}^{2k}) \left(\sum_{N \gg 1} \|v_N\|_{Y_T}^2 \right)^{1/2}.$$

Proof. By (2.1), we have

$$P_N u = e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} v_N.$$

For $L_T^\infty H_x^{1/2}$ -norm, by the interpolation theorem, we obtain for $N \gg 1$,

$$\begin{aligned} \|P_N u\|_{H_x^{1/2}} &\lesssim \|P_N u\|_{L^2}^{\frac{1}{2}} \|P_N u\|_{H^1}^{\frac{1}{2}} \lesssim \|v_N\|_{L^2}^{\frac{1}{2}} (\|P_N u\|_{L^2} + \|\partial_x P_N u\|_{L^2})^{\frac{1}{2}} \\ &\lesssim \|v_N\|_{L^2}^{\frac{1}{2}} \left(\|v_N\|_{L^2} + \left\| |P_{\ll N} u|^k v_N \right\|_{L^2} + \|\partial_x v_N\|_{L^2} \right)^{\frac{1}{2}} \\ &\lesssim \|v_N\|_{L^2}^{\frac{1}{2}} \left(\|P_{\ll N} u\|_{L_x^{4k}}^k \|v_N\|_{L_x^4} + \|v_N\|_{H^1} \right)^{\frac{1}{2}} \\ &\lesssim \left(1 + \|P_{\ll N} u\|_{H_x^{1/2}}^k \right)^{\frac{1}{2}} \|v_N\|_{H_x^{1/2}} \lesssim \left(1 + \|P_{\ll N} u\|_{H_x^{1/2}}^k \right) \|v_N\|_{H_x^{1/2}}, \end{aligned}$$

which yields the desired estimate by summing on l_N^2 .

For the $L_x^\infty L_T^2$ -norm, noticing that

$$\partial_x P_N u = e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} (\partial_x v_N + \frac{i\lambda}{2} |P_{\ll N} u|^k v_N),$$

we have

$$\begin{aligned} \|\partial_x P_N u\|_{L_x^\infty L_T^2} &\lesssim \|\partial_x v_N\|_{L_x^\infty L_T^2} \\ &+ \left\| \tilde{P}_N \left(\sum_{N_1} P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) |P_{\ll N} u|^k \sum_{N_2} P_{N_2} v_N \right) \right\|_{L_x^\infty L_T^2}. \end{aligned} \quad (6.1)$$

To estimate the second term (6.1), we split the sum $\sum_{N_2} = \sum_{N_2 \sim N} + \sum_{N_2 \succ N}$. For $N_2 \sim N$, from the Bernstein inequality, we bound (6.1) by

$$\begin{aligned} &\lesssim \left\| |P_{\ll N} u|^k \sum_{N_2 \sim N} P_{N_2} v_N \right\|_{L_x^\infty L_T^2} \lesssim \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \sum_{N_2 \sim N} \|P_{N_2} v_N\|_{L_x^\infty L_T^2} \\ &\lesssim N \left\| D_x^{-1/k} P_{\ll N} u \right\|_{L_{x,T}^\infty}^k \sum_{N_2 \sim N} \|P_{N_2} v_N\|_{L_x^\infty L_T^2} \\ &\lesssim \|P_{\ll N} u\|_{L_T^\infty H_x^{1/2}}^k \sum_{N_2 \sim N} \|P_{N_2} \partial_x v_N\|_{L_x^\infty L_T^2} \\ &\lesssim \|u\|_{L_T^\infty H_x^{1/2}}^k \sum_{N_2 \sim N} \|P_{N_2} \partial_x v_N\|_{L_x^\infty L_T^2}. \end{aligned}$$

For the part $N_2 \succ N$, we split it as $\sum_{N_2 \succ N} = \sum_{N_2 \ll N} + \sum_{N_2 \gg N}$. Noticing that for $N_2 \ll N$,

$$\begin{aligned} &\tilde{P}_N \left(\sum_{N_1} P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) |P_{\ll N} u|^k \sum_{N_2} P_{N_2} v_N \right) \\ &= \tilde{P}_N \left(P_{\sim N} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) |P_{\ll N} u|^k P_{\ll N} v_N \right), \end{aligned} \quad (6.2)$$

and for $N_2 \gg N$,

$$(6.2) = \tilde{P}_N \left(\sum_{N_1 \sim N_2 \gg N} P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) |P_{\ll N} u|^k P_{N_2} v_N \right),$$

we can bound (6.1), in view of the Bernstein inequality and the Hölder inequality, by

$$\begin{aligned} &\lesssim \left\| P_{\sim N} e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_{x,T}^\infty} \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \|P_{\ll N} v_N\|_{L_{x,T}^\infty} \\ &+ \sum_{N_1 \sim N_2 \gg N} \left\| P_{N_1} e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_{x,T}^\infty} \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \|P_{N_2} v_N\|_{L_{x,T}^\infty} \\ &\lesssim N^{-\frac{k}{(2k+1)}} \left\| P_{\sim N} \partial_x e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_{x,T}^\infty} \\ &\cdot \left\| D_x^{-\frac{1}{(2k+1)}} P_{\ll N} u \right\|_{L_{x,T}^\infty}^k \left\| D_x^{-\frac{1}{(2k+1)}} P_{\ll N} v_N \right\|_{L_{x,T}^\infty} \\ &+ \sum_{N_1 \sim N_2 \gg N} N_1^{-\frac{k}{(2k+1)}} \left\| P_{N_1} \partial_x e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy} \right\|_{L_{x,T}^\infty} \end{aligned}$$

$$\begin{aligned}
& \cdot \left\| D_x^{-\frac{1}{(2k+1)}} P_{\ll N} u \right\|_{L_{x,T}^\infty}^k \left\| D_x^{-\frac{1}{(2k+1)}} P_{N_2} v_N \right\|_{L_{x,T}^\infty} \\
& \lesssim N^{-\frac{k}{(2k+1)}} \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \|u\|_{L_T^\infty H_x^{1/2}}^k \|v_N\|_{L_T^\infty H_x^{1/2}} \\
& + \sum_{N_1 \sim N_2 \gg N} N_1^{-\frac{k}{3(2k+1)}} N_2^{-\frac{k}{3(2k+1)}} N^{-\frac{k}{3(2k+1)}} \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \|u\|_{L_T^\infty H_x^{1/2}}^k \|P_{N_2} v_N\|_{L_T^\infty H_x^{1/2}} \\
& \lesssim \|u\|_{L_T^\infty H_x^{1/2}}^{2k} \|v_N\|_{L_T^\infty H_x^{1/2}}.
\end{aligned}$$

Therefore, summing on l_N^2 , we complete the proof for the $L_x^\infty L_T^2$ -norm.

For the $L_x^2 L_T^\infty$ -norm, it is easy to obtain the desired result since $|P_N u| = |v_N|$.

We turn to estimate the $L_x^4 L_T^\infty$ -norm. It is similar with the proof for the $L_x^\infty L_T^2$ -norm, since $\|\langle D_x \rangle^{1/4} P_N u\|_{L_x^4 L_T^\infty} \sim N^{1/4} \|P_N u\|_{L_x^4 L_T^\infty}$ for $N \gg 1$. In fact, we have

$$\|\langle D_x \rangle^{1/4} P_N u\|_{L_x^4 L_T^\infty} \sim N^{1/4} \left\| \sum_{N_1} P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) \sum_{N_2} P_{N_2} v_N \right\|_{L_x^4 L_T^\infty}. \quad (6.3)$$

We also split $\sum_{N_2} = \sum_{N_2 \sim N} + \sum_{N_2 \not\sim N}$. For $N_2 \sim N$, we bound (6.3) by

$$\lesssim N^{1/4} \sum_{N_2 \sim N} \|P_{N_2} v_N\|_{L_x^4 L_T^\infty} \lesssim \|\langle D_x \rangle^{1/4} v_N\|_{L_x^4 L_T^\infty}.$$

For the part $N_2 \not\sim N$, we split it as $\sum_{N_2 \not\sim N} = \sum_{N_2 \ll N} + \sum_{N_2 \gg N}$. Noticing that for $N_2 \ll N$,

$$\begin{aligned}
& \tilde{P}_N \left(\sum_{N_1} P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) \sum_{N_2} P_{N_2} v_N \right) \\
& = \tilde{P}_N \left(P_{\sim N} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) P_{\ll N} v_N \right),
\end{aligned} \quad (6.4)$$

and for $N_2 \gg N$,

$$(6.4) = \tilde{P}_N \left(\sum_{N_1 \sim N_2 \gg N} P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) P_{N_2} v_N \right),$$

we can bound (6.3), in view of the Bernstein inequality and the Hölder inequality, by

$$\begin{aligned}
& \lesssim \|\langle D_x \rangle^{1/4} v_N\|_{L_x^4 L_T^\infty} + N^{1/4} \left\| P_{\sim N} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) \right\|_{L_{x,T}^\infty} \|P_{\ll N} v_N\|_{L_x^4 L_T^\infty} \\
& + N^{1/4} \sum_{N_1 \sim N_2 \gg N} \left\| P_{N_1} (e^{\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u|^k dy}) \right\|_{L_{x,T}^\infty} \|P_{N_2} v_N\|_{L_x^4 L_T^\infty} \\
& \lesssim \|\langle D_x \rangle^{1/4} v_N\|_{L_x^4 L_T^\infty} + N^{-3/4} \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \|\langle D_x \rangle^{1/4} P_{\ll N} v_N\|_{L_x^4 L_T^\infty} \\
& + \sum_{N_1 \sim N_2 \gg N} N_1^{-1/3} N_2^{-1/3} N^{-1/3} \|P_{\ll N} u\|_{L_{x,T}^\infty}^k \|\langle D_x \rangle^{1/4} P_{N_2} v_N\|_{L_x^4 L_T^\infty} \\
& \lesssim (1 + \|u\|_{L_T^\infty H_x^{1/2}}^k) \|\langle D_x \rangle^{1/4} v_N\|_{L_x^4 L_T^\infty},
\end{aligned}$$

which yields the desired estimate by applying l_N^2 -sum.

Thus, we complete the proof of this Lemma. \square

Of course, we need the following estimate of the data.

Lemma 6.3. *For any $u_0 \in H^{1/2}$, we have*

$$\left(\sum_{N \gg 1} \left\| S(t) \left(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy} P_N u_0 \right) \right\|_{Y_T}^2 \right)^{1/2} \lesssim (1 + \|u_0\|_{H^{1/2}}^k) \|P_{\gg 1} u_0\|_{H^{1/2}}. \quad (6.5)$$

Proof. From (3.1), (3.2) and (3.3), we bound the left hand side of (6.5) by

$$\lesssim \left(\sum_{N \gg 1} \left\| e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy} P_N u_0 \right\|_{H^{1/2}}^2 \right)^{1/2} \quad (6.6)$$

$$+ \left(\sum_{N \gg 1} \left(\sum_M \left\| P_M \left(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy} P_N u_0 \right) \right\|_{H^{1/2}}^2 \right)^2 \right)^{1/2}. \quad (6.7)$$

From Lemma 3.3, we have

$$(6.6) \lesssim \left(\sum_{N \gg 1} [\|P_{\ll N} u_0\|_{L^{4k}}^{2k} \|P_N u_0\|_{L^4}^2 + \|P_N u_0\|_{H^{1/2}}^2] \right)^{1/2} \\ \lesssim (1 + \|u_0\|_{H^{1/2}}^2) \|P_{\gg 1} u_0\|_{H^{1/2}}.$$

For the second term (6.7), it is similar with (5.21). We split the sum $\sum_M = \sum_{M \lesssim N} + \sum_{M \gg N}$. By the Bernstein inequality, the Hölder inequality and the Sobolev embedding theorem, we bound (6.7) by

$$\lesssim \left(\sum_{N \gg 1} \left(\sum_{M \lesssim N} \langle M \rangle^{\frac{1}{2}} \|P_N u_0\|_{L_x^2} \right)^2 \right)^{1/2} \\ + \left(\sum_{N \gg 1} \left(\sum_{M \gg N} \left\| \langle D_x \rangle^{\frac{1}{2}} P_M \left(e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy} P_N u_0 \right) \right\|_{L_x^2} \right)^2 \right)^{1/2} \\ \lesssim \left(\sum_{N \gg 1} \left(N^{1/2} \|P_N u_0\|_{L_x^2} \right)^2 \right)^{1/2} \\ + \left(\sum_{N \gg 1} \left(\sum_{M \gg N} M^{\frac{1}{2}} \left\| (P_{\sim M} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy}) P_N u_0 \right\|_{L_x^2} \right)^2 \right)^{1/2} \\ \lesssim \|P_{\gg 1} u_0\|_{H^{1/2}} \\ + \left(\sum_{N \gg 1} \left(\sum_{M \gg N} M^{\frac{1}{2}} \left\| P_{\sim M} e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy} \right\|_{L^4} \|P_N u_0\|_{L_x^4} \right)^2 \right)^{1/2} \\ \lesssim \|P_{\gg 1} u_0\|_{H^{1/2}} \\ + \left(\sum_{N \gg 1} \left(\sum_{M \gg N} M^{-\frac{1}{2}} \left\| P_{\sim M} \partial_x e^{-\frac{i\lambda}{2} \int_{-\infty}^x |P_{\ll N} u_0|^k dy} \right\|_{L^4} \|P_N u_0\|_{L_x^4} \right)^2 \right)^{1/2}$$

$$\begin{aligned} &\lesssim \|P_{\gg 1} u_0\|_{H^{1/2}} + \left(\sum_{N \gg 1} \left(\|P_{\ll N} u_0\|_{L^{4k}}^k \|P_N u_0\|_{L_x^4} \right)^2 \right)^{1/2} \\ &\lesssim (1 + \|u_0\|_{H^{1/2}}^k) \|P_{\gg 1} u_0\|_{H^{1/2}}, \end{aligned}$$

which yields the desired result. \square

With the help of the above lemmas, we can prove the following proposition which yields the a priori estimate.

Proposition 6.1. *Let u be a smooth solution to (1.1)-(1.2) and $0 < T \leq C_{high}^4$. Then we have*

$$\|u\|_{\tilde{X}_T} \leq C(C_{low}) + C(C_{low} + \|u\|_{\tilde{X}_T})^{3k} (T^{1/4} + C_{high}) \|u\|_{\tilde{X}_T}.$$

Proof. Noticing that

$$\|P_{\lesssim 1} u\|_{X_T} \lesssim C_{low} \|u\|_{\tilde{X}_T}, \quad \|P_{\gg 1} u\|_{X_T} \lesssim C_{high} \|u\|_{\tilde{X}_T}.$$

and from Lemmas 6.1, 6.2, 6.3 and Proposition 5.1, we obtain through a complicated computation

$$\begin{aligned} \|u\|_{\tilde{X}_T} &= \frac{1}{C_{low}} \|P_{\lesssim 1} u\|_{X_T} + \frac{1}{C_{high}} \|P_{\gg 1} u\|_{X_T} \\ &\lesssim 1 + \frac{1}{C_{low}} T^{\frac{1}{2}} \|u\|_{X_T}^{k+1} + \frac{1}{C_{high}} (1 + \|u\|_{L_T^\infty H_x^{1/2}}^{2k}) \left(\sum_{N \gg 1} \|v_N\|_{Y_T}^2 \right)^{1/2} \\ &\lesssim 1 + \frac{1}{C_{low}} T^{\frac{1}{2}} \|u\|_{X_T}^{k+1} + \frac{1}{C_{high}} (1 + \|u\|_{L_T^\infty H_x^{1/2}}^{2k}) (1 + \|u_0\|_{H^{1/2}}^k) \|P_{\gg 1} u_0\|_{H^{1/2}} \\ &\quad + \frac{1}{C_{high}} (1 + \|u\|_{L_T^\infty H_x^{1/2}}^{2k}) \left\{ T^{\frac{1}{2}} \|u\|_{X_T}^{3k} \|P_{\gg 1} u\|_{X_T} \right. \\ &\quad + (1 + \|u\|_{X_T}^k) \left[T^{\frac{1}{2}} \|u\|_{X_T}^{\tilde{k}+1} \|P_{\gg 1} u\|_{X_T}^{k-\tilde{k}} + (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{\tilde{k}} \|P_{\gg 1} u\|_{X_T}^{k+1-\tilde{k}} \right] \\ &\quad + T^{\frac{1}{2}} \left(\|u\|_{X_T}^{2k-1} + \|u\|_{X_T}^{(5k-2)/2} \right) \|P_{\gg 1} u\|_{X_T} \\ &\quad + T^{\frac{1}{4}} (1 + T^{\frac{1}{4}} \|u\|_{X_T})^{\frac{1}{2}} \|u\|_{X_T}^{2k-1} \|P_{\gg 1} u\|_{X_T}^{\frac{3}{2}} \\ &\quad + (1 + \|u\|_{X_T}^k) \left[T^{\frac{1}{2}} \|u\|_{X_T}^k \|P_{\gg 1} u\|_{X_T} + (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 \|u\|_{X_T}^{k-1} \|P_{\gg 1} u\|_{X_T}^2 \right] \} \\ &\leq C + C(C_{low}) T^{\frac{1}{2}} \|u\|_{\tilde{X}_T}^{k+1} + (1 + \|u\|_{L_T^\infty H_x^{1/2}}^{2k}) (1 + \|u_0\|_{H^{1/2}}^k) \\ &\quad + C(C_{low}) (1 + \|u\|_{L_T^\infty H_x^{1/2}}^{2k}) \left\{ T^{\frac{1}{4}} \|u\|_{X_T}^{3k+1} + T^{\frac{1}{4}} (1 + \|u\|_{X_T}^k) \|u\|_{X_T}^{k+1} \right. \\ &\quad + T^{\frac{1}{2}} (\|u\|_{X_T}^{k+1} + \|u\|_{X_T}^{5k/2}) + C_{high}^{k-\tilde{k}} (1 + T^{\frac{1}{4}} \|u\|_{X_T}) (1 + \|u\|_{X_T}^k) \|u\|_{X_T}^{k+1} \\ &\quad \left. + C_{high}^{3/2} (1 + T^{\frac{1}{4}} \|u\|_{X_T}) \|u\|_{X_T}^{2k} + C_{high}^2 (1 + T^{\frac{1}{4}} \|u\|_{X_T})^2 (1 + \|u\|_{X_T}^k) \|u\|_{X_T}^{k+1} \right\}. \end{aligned}$$

Notice that

$$\|u(t)\|_{H^{1/2}} \lesssim \|P_{\lesssim 1} u(t)\|_{L^2} + C_{high} \|P_{\gg 1}\|_{\tilde{H}^{1/2}}.$$

The high frequency part $C_{high} \|P_{\gg 1}\|_{\tilde{H}^{1/2}}$ can be absorbed into the \tilde{X}_T -norm. Then substituting Lemma 6.1 again in estimating the low frequency part of the norm $\|P_{\lesssim 1} u\|_{L_T^\infty H_x^{1/2}}$, we complete the proof of Proposition 6.1. \square

From Proposition 6.1, we have the following a priori estimate for the solution of (1.1)-(1.2) if we take T and C_{high} small enough.

Corollary 6.1. *Let u be a smooth solution to (1.1)-(1.2). we have*

$$\|u\|_{\tilde{X}_T} \lesssim C_{low} + C_{high},$$

for T and C_{high} small enough.

For the proof of Theorem 1.1, we can follow the compactness argument with the a priori estimate. Since the proof is standard, we omit the details and refer to the papers [14, 15, 16, 17, 19, 22].

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